

Corporate Risk Register - Detailed Report

Report Author: Paul Dudley

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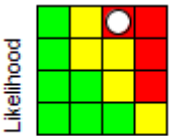
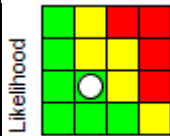
Rows are sorted by Risk Score

Code & Title: CR Corporate Risk Register 11

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR11 Hampstead Heath Ponds - overtopping leading to dam failure 05-Feb-2015 Sue Ireland; Paul Monaghan	<p>Cause: The earth dams on Hampstead Heath are vulnerable to erosion caused by overtopping</p> <p>Event: Severe rainfall event which causes erosion which results in breach, leading to failure of one or more dams</p> <p>Impact: Loss of life within the downstream community and disruption to property and infrastructure - including Kings Cross station and the Royal Free Hospital. A major emergency response would need to be initiated by Camden Council and the police at a time when they are likely to already be dealing with significant surface water flooding. Damage to downstream buildings and infrastructure would result in significant re-build costs. The City's reputation would be damaged. An inquiry and legal action could be launched against the City.</p> <p>The Ponds Project has been initiated to mitigate this risk as the current interim mitigations of telemetry, weather monitoring, an on-site emergency action plan do not address the issue of the dam's vulnerability to overtopping</p>	Likelihood Impact 	16	The project continues to progress well and it is anticipated that the engineering works will conclude in October. 19 Aug 2016	Likelihood Impact 	8	31-Oct-2016	 No change

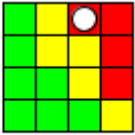
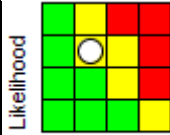

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR11 a Project Director to review budget monthly with Project Board - specific consideration of use of risk contingency	Regular monitoring of budget and risk provisions	No change: Works well under way some elements delayed but still to be completed to contract programme – forecast still within current budget	Paul Monaghan	19-Aug-2016	31-Oct-2016
CR11 b Agreement of methods of working with utilities	Agreement of methods of working with utilities	No change: Identifying utilities in order to negotiate new wayleaves, needs to be in conjunction with routes across the Heath.	Paul Monaghan	19-Aug-2016	01-Mar-2017
CR11 c Site supervision by DBE and OS to ensure appropriate H&S procedures	Regular review of H&S and working practices - in particular movement of vehicles	Weekly meetings continue to take place and working practices are continually challenged. City staff have attended and contributed to contractor led H&S training sessions.	Paul Monaghan	19-Aug-2016	31-Oct-2016
CR11 d Liaison Officer to engage proactively through site notices, media, electronic communications, PPSG and CWG	Liaison Officer role defined by planning conditions in respect of CWG, but will undertake broader community engagement role as previously	Liaison officer continuing all the activities and the CWG continues to meet and receiving some positive feedback.	Paul Monaghan	19-Aug-2016	31-Oct-2016
CR11 f Daily ecological monitoring by BAM and Heath staff to	As per planning consent and conditions	Ongoing daily water quality and dust monitoring undertaken. Data published and issued monthly to CWG. Wildlife and nesting birds continually monitored and work programmes adapted to minimise the impact.	Paul Monaghan	19-Aug-2016	31-Oct-2016

check for nesting birds					
CR11 g Weekly site meetings to secure clear communication between OS, DBE and BAM	To secure clear understand of impact on the Heath, resolution of any issues, discussion of complaints	Weekly site visits take place with the whole project team and no change ongoing continuing consultation with all stakeholders. Complaints log discussed at CWG .	Paul Monaghan	19-Aug-2016	31-Oct-2016
CR11 h Resolution of issues with adjoining land owners	There are 4 different adjoining landowners who the City is engaging with. The land ownership will be resolved according to the specifics of each case - via transfer, access agreements or registration as co-undertakers with the EA.	The designs have been approved and construction of HG1 is nearly complete. There are few outstanding issue with the landowners but these will not impact on the progression/conclusion of the project.	Paul Monaghan	19-Aug-2016	31-Jul-2016
CR11 i Approval of designs for Highgate 1	The design approved for Highgate No. 1 impacts on another landowner. Discussions as to an acceptable alternative have been progressing. Any change will require planning permission.	The planning authority has approved the designs	Paul Monaghan	19-Aug-2016	31-Jul-2016

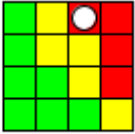
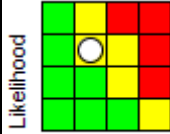

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CR19 IT Service Provision	<p>Cause: The whole Police IT Estate and parts of the Corporation are in need of further investment.</p> <p>Event: For the Corporation, poor performance of IT Service and for the Police critical failure of the Police IT Service.</p> <p>Effect: Loss of communications or operational effectiveness (may also lead to low staff morale). Possible failure of critical Corporation and Policing activities. Reputational damage.</p>	 <p>Likelihood</p> <p>Impact</p>	16	<p>The primary focus of the team is on stabilisation, a more robust approach to managing change has been adopted, reducing the risk of service interruption. Team level approach to risk management is now aligned fully to the top level approach the risk is expected to reduce to Amber by December 2016 followed by steady progress to Green in the following months.</p> <p>Initial work has been completed to identify the key components of the IT infrastructure and core business applications that will reach end of life, or need re-procurement over the next</p>	 <p>Likelihood</p> <p>Impact</p>	4	31-Dec-2017	↔

14-Jul-2015 Simon Woods				5 years. This process has identified a bow wave of investment that will need to be evaluated against risk, business need and affordability. This work will be shared with key stakeholders and will form the basis of a refreshed IT Roadmap. 24 Aug 2016				No change
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Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR19b JOINT Network refresh programme.	Joint network refresh programme to resolve issues around network resilience and ensure we have diverse routes for network traffic, avoiding single points of failure.	JOINT Network refresh programme – initial scoping has been completed and highlighted weakness in the original design and planning assumptions. Specifically that the proposed work did not offer an end to end solution that would eliminate the risks in the current infrastructure, Further work is being commissioned to establish a comprehensive baseline and to re-plan the project addressing the issues around resilience. A fully costed high level solution design will be completed by December 2016, with implementation scheduled after that point.	Simon Woods	24-Aug-2016	31-Dec-2016
CR19c JOINT End User Device Renewal	Investment in any retained IT infrastructure to ensure that this meets the same standards of resilience and continuity as delivered by the IaaS infrastructure.	The tactical deployment of new devices to users of the oldest desktop kit has been completed. This has reduced the risk of end user device hardware failure to staff using end of life kit. A solution proposal has not been signed off to progress with move to a fully managed desktop environment based upon Window10. This phase of the EUDR programme is currently being mobilised.	Simon Woods	24-Aug-2016	31-Mar-2017
CR19d CoLP Investment in any retained IT infrastructure	Investment in any retained IT infrastructure to ensure that this meets the same standards of resilience and continuity as delivered by the IaaS infrastructure	IT Division are reviewing what infrastructure will be retained within Police premises and working to align to the Police accommodation programme.	Simon Woods	24-Aug-2016	31-Dec-2016

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CR20 Road Safety 23-Oct-2015 Carolyn Dwyer	<p>Cause: Limited space on the City's medieval road network to cope with the increased use of the highway by vehicles and pedestrians / cyclists within the City of London. Interventions & legal processes take time to deliver</p> <p>Event: The number of casualties occurring in the City rises instead of reducing.</p> <p>Effect: The City's reputation and credibility is adversely impacted with businesses and/or the public considering that the Corporation is not taking sufficient action to protect vulnerable road users; adverse coverage on national and local media</p>	 <p>Likelihood</p> <p>Impact</p>	16	<p>Vehicle and driver safety now a requirement in the City of London Responsible Procurement Strategy. Draft Road Danger Reduction campaign now out for consultation. Final document expected end of August.</p> <p>18 Jul 2016</p>	 <p>Likelihood</p> <p>Impact</p>	6	30-Apr-2017	 No change

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR20a Joint Safer Transport Team	Implement a joint City of London Corporation & City of London Police Road Safety/Safer Transport Team	Business case for joint location still under review. Decision still expected Autumn (in parallel smarter ways of information sharing currently being progressed).	Steve Presland	18-Jul-2016	01-Oct-2016
CR20b Permanent Bank Junction redesign	Permanent Bank Junction redesign	Still on track	Steve Presland	18-Jul-2016	30-Nov-2018
CR20c Interim Bank Junction redesign	Working with TfL to explore and, where practicable, deliver short term design/operational improvements to Bank Junction	It is anticipated that a report to proceed to implementation will be presented by December this year with implementation by the end of April 2017.	Steve Presland	18-Jul-2016	30-Apr-2017
CR20d Road Safety Communications Strategy	Work with the Corporation's Communications Office to deliver a Road Safety Communications Strategy	Draft Road Danger Reduction campaign now out for consultation. Final document expected end of August.	Steve Presland	18-Jul-2016	30-Nov-2016
CR20e City Contracts	Explore embedding vehicle and driver safety in all City of London Corporation contracts	Vehicle and driver safety now a requirement in the City of London Responsible Procurement Strategy.	Steve Presland	18-Jul-2016	30-Sep-2016

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CR21 Air Quality 07-Oct-2015 Jon Averns	<p>Cause: Small particulate pollution has chronic health impacts from long term exposure at very low concentrations and is in evidence within the City and central London. There is also a health impact associated with long term and short term exposure to nitrogen dioxide.</p> <p>Event: Under certain atmospheric conditions there is a higher probability of poor air quality within the City and it is more likely that residents, workers and visitors would suffer the acute consequences.</p> <p>Effect: The consequences both acute and chronic may include: An increase in hospital referrals placed upon both emergency services and the NHS for those already suffering from respiratory or cardiovascular conditions (it may also place a strain on City social services). An increase in deaths, particularly of those already suffering from respiratory or cardiovascular conditions (both residents and workers). Economic costs such as acting as a deterrent of businesses coming to London or staying and financial penalties for non-compliance with air quality limits. Persistent poor air quality may affect the longer term health of the City population. Persistent poor air quality may attract adverse media coverage making the City seem a less attractive place to live and work.</p>	 <p>Likelihood</p> <p>Impact</p>	16	This risk continues to be regularly reviewed in line with all statutory obligations imposed by the Environment Act 1995. 17 Aug 2016	 <p>Likelihood</p> <p>Impact</p>	6	31-Dec-2020	 No change

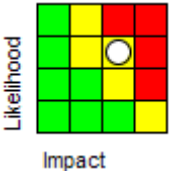
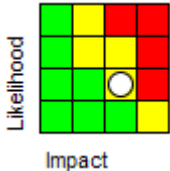
Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR21 001a Implement policies	Implement the policies contained in the City of London Air Quality Strategy 2015-2020. The strategy contains 10 policy areas with 60 specific actions. An annual report will be produced demonstrating progress with each action.	Actions within the 5 year strategy on track. Annual report to be submitted to the GLA detailing exact progress by August 2016	Jon Averns	11-Apr-2016	31-Aug-2016

CR21 001b Review Air Quality	Review and assess air quality in line with statutory obligations of the Environment Act 1995. Submit all relevant statutory reports. Approval of all reports by Defra and the GLA will demonstrate compliance with statutory obligations.	The timetable for submitting the report under the new arrangements for LLAQM has changed. The 2016 report will therefore be submitted by August 2016	Jon Averbs	11-Apr-2016	31-Aug-2016
CR21 001c Become an Exemplar Borough	Ensure the City Corporation becomes a Mayor of London Exemplar Borough for air quality.	The City is taking all relevant action required by the GLA to become an exemplar borough but the scheme hasn't been officially launched yet	Jon Averbs	11-Apr-2016	29-Dec-2017
CR21 001d Develop communication strategy.	Develop and implement a robust communications strategy to ensure people have sufficient information to reduce their exposure on days of 'high' air pollution.	The strategy is being drafted as of June 2016	Jon Averbs	17-Aug-2016	30-Sep-2016
CR21 001e Develop plan	Develop and implement a plan for reducing the impact of diesel vehicles on air pollution in the Square Mile. This is to complement the work being undertaken by the Mayor of London to reduce air pollution in the central zone through the implementation of the Ultra-Low Emission Zone.	Baseline work completed with Policy Exchange on range of options available. Submitted bid for funding for Low Emission neighbourhood. Obtained £100,000 funding from the Mayors Air Quality Fund to look into this further	Jon Averbs	11-Apr-2016	31-Dec-2018

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CR01 Resilience Risk 20-Mar-2015 John Barradell	<p>Cause - Lack of appropriate planning, leadership and coordination</p> <p>Event - Emergency situation related to terrorism or other serious event/major incident is not managed effectively</p> <p>Effect - Major disruption to City business, failure to support the community, assist in business recovery. Reputational damage to the City as a place to do business.</p>	<p>Likelihood</p> <p>Impact</p>	12	<p>The Status of this risk hasn't changed. A review of the organisation's security procedures is currently being undertaken to ensure that they are consistent and appropriate for the threat level. Implementation of the review findings will take place over the coming year. The CoL's own business continuity is heavily dependent on resilient IT and a test as to whether an identified single point of failure in the Guildhall Justice Rooms has been rectified is awaited.</p> <p>19 Aug 2016</p>	<p>Likelihood</p> <p>Impact</p>	4	31-Mar-2017	↔ No change

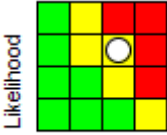
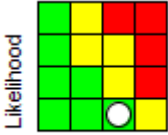

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CR01A Emergency Exercise	Full exercise (Allovus) to test the emergency and business continuity plans across the organisation. The exercise will involve the emergency services	This action is now complete	Gary Locker	29-Jan-2016	11-Jun-2015
CR01B Corporate review of Business Continuity planning	Prepare and complete a report for the Summit Group, based on the findings of a review of departmental business continuity planning	This action is now complete	Gary Locker	29-Jan-2016	30-Nov-2015
CR01C Exercise Unified Response	Large scale multi-agency exercise which will test the CoL's Borough Emergency Co-ordination Centre (BECC)	Lessons learnt from the exercise have been fed back into the corporate emergency planning process and business continuity plans. This action is now complete	Gary Locker	17-Jun-2016	01-Jun-2016

CR01D Elimination of single points of failure, resulting in loss of services	Working with the IS division, remove potential single points of failure from business continuity processes.	Currently waiting for feedback from the IS division regarding testing on changes to the VPN infrastructure that will ensure that the network will be available virtually in the event of a systems outage in Guildhall. Still awaiting test by IT to determine whether single point of failure in Guildhall Justice Rooms has been rectified.	Gary Locker	19-Aug-2016	01-Dec-2016
CR01E Corporate Review of Security	Conduct a review of the City of London's physical security arrangements to ensure that are consistent and appropriate for the threat level. Implementation of the review findings be complete by the end of 2016	Consultant Marshall Kent has been engaged to provide the independent review, work commenced in April		17-Jun-2016	31-Dec-2016

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CR09 Health and Safety Risk 22-Sep-2014 Chrissie Morgan	<p>Cause - Safety is treated as a low priority by the organisation, lack of training of staff and managers, management complacency, poor supervision and management</p> <p>Event - Statutory regulations and internal procedures relating to Health and Safety breached and/or not complied with.</p> <p>Effect - Possible enforcement action/ fine/prosecution by HSE, Employees/visitors/contractors may be harmed/injured, Possible civil insurance claim, Costs to the Corporation, Adverse publicity /damage to reputation, Rectification costs</p>		12	<p>This risk has been reviewed by the SMT on 1 August 2016, and there is no change to the assessment at this time. Consideration is being given to the implementation of recommendations made during the recent external audit of the Occupational Health and Safety management system by the British Safety Council. This may lead to a lowering of the risk score.</p> <p>19 Aug 2016</p>		8	31-Mar-2017	↔ No change

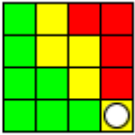

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CR09A External Verification	External verification of the CoL's safety management system	British Safety Council have awarded 4 star status. This action is now closed	Oliver Sanandres	18-Apr-2016	29-Feb-2016
CR09B	Rolling programme of departmental compliance audits	The 2016-17 programme of audits is now completed	Oliver	21-Jun-	31-Mar-

Compliance Audits	conducted by the Corporate Health and Safety Unit		Sanandres	2016	2016
CR09C Compliance Checks 2016-17	Annual Programme of compliance checks	The programme for this year is currently on target to be achieved by March 2017	Oliver Sanandres	19-Aug-2016	31-Mar-2017
CR09D Implementing external verification recommendations	Develop a series of actions that will help to implement the best practice recommendations contained in the recent external verification of the CoL's Occupational Health and Safety Management Systems	The actions are currently being prioritised	Oliver Sanandres	19-Aug-2016	31-Mar-2017

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CR16 Information Security 22-Sep-2014 Simon Woods	Cause: Breach of IT Systems resulting in unauthorised access to data by internal or external sources. Officer/ Member mishandling of information. Event: Cybersecurity attack - unauthorised access to COL IT systems. Loss or mishandling of personal or commercial information. Effect: Failure of all or part of the IT Infrastructure, with associated business systems failures. Harm to individuals, a breach of legislation such as the Data Protection Act 1988. Incur a monetary penalty of up to £500,000. Compliance enforcement action. Corruption of data. Reputational damage to Corporation as effective body.	 Likelihood	12	No Change to overall risk score/assessment 04 Aug 2016	 Likelihood	4	31-Dec-2016	 No change

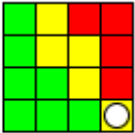
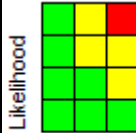

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CR16b Review and strengthen Data Retention, Management and Ownership.	For all major systems establish data owner and retention policy for information therein.	A Chief Officer Cyber workshop, delivered by Templar Executives, on 23 June, significantly raised awareness and helped to identify priority areas for action. There will be continued engagement throughout the summer to with a view to building a culture of information ownership both corporately and across departments. Work is well underway and Senior Information Asset Owners and Information Asset Owners have now been identified and	Christine Brown	05-Jul-2016	31-Dec-2016

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR02A Special Representative of the City to the EU	Appointment of former Foreign Office Minister, Jeremy Browne, to new position to enhance our engagement with EU policy makers.	Since the UK's decision to leave the EU, Jeremy Browne has been visiting several key EU Member States to reengage the City's key stakeholders in Europe.	Giles French	22-Aug-2016	01-Sep-2015
CR02B Restructure of the team working on financial and professional services	City, EU and International Affairs teams have been restructured into City Competitiveness and Regulatory Affairs teams to remove geographical boundaries and provide greater policy focus to work. Job descriptions have been reviewed for same purpose.	A Review by Sir Simon Fraser reporting on the success of the Corporation in Promoting the City was carried out. In response to that further restructure of the team is being carried out to restructure the City Competitiveness and Regulatory Affairs team into three: Policy and Innovation Team, Regulation Team and Exports and Investment Team, Job descriptions have been reviewed and the new roles will be in place by January 2017	Giles French	22-Aug-2016	31-Jan-2017
CR02C UK Referendum on membership of the EU	City Corporation providing opportunities for informing the debate on the EU Referendum, and representing the views of the financial and professional services sector	Since the UK's decision to leave the EU, the Corporation is working with trade associations and other bodies in the City to collate a combined view to present to government ahead of Brexit negotiations. The Corporation has worked with and facilitated discussions with bodies across the City. Research has been commissioned to demonstrate how EU corporates use UK based financial services, and to examine the feasibility of a UK regional visa regime	Damian Nussbaum	22-Aug-2016	23-Mar-2017

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CR10 Adverse Political Developments	<p>Cause: Financial services issues that make the City Corporation vulnerable to political criticism; local government devolution proposals that call into question the justification for the separate administration of the Square Mile; overarching political hostility.</p> <p>Event: Functions of City Corporation and boundaries of the City adversely affected.</p> <p>Impact: Controversy over reforms which damages the City's reputation as a place to do business. The future of the City of London Corporation as an independent body could be undermined.</p>	 <p>Likelihood</p> <p>Impact</p>	8	There has been close engagement with those responsible for formulating proposals to enable the devolution of responsibilities while safeguarding the City. The current focus is on the reform of business rates. The developing domestic political situation is being given close consideration. Constant attention is given to the form of legislation affecting the City. Making known the work of the City Corporation among opinion formers, particularly in Parliament and Central Government, is necessary so that the	 <p>Likelihood</p> <p>Impact</p>	8		↔

22-Sep-2014 Paul Double				<p>City Corporation is seen to remain relevant and "doing a good job" for London and the nation and is seen to be an objective assessment. The Office also provides advice on the City Corporation's approach to important political developments including the result of the EU referendum to leave the EU and the general parliamentary mood.</p> <p>07 Jul 2016</p>				No change
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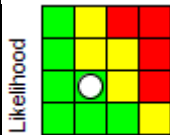

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CR10a monitoring legislation	Monitoring of Government legislation and proposed regulatory changes.	Relevant Bills in the Government's legislative programme have been identified and City Corporation departments alerted to issues of potential significance as the measures are introduced in the new Session. Action taken through negotiation with departmental officials or amendments tabled in Parliament as required. The legislative consequences of Britain leaving the EU as they may affect the Corporation and the City more generally as an international financial centre will be a particular focus.	Paul Double	24-Jun-2016	31-Mar-2017
CR10b Provision of information	Provision of information to Parliament and Government on issues of importance to the City.	Briefing has been provided for parliamentary debates on air quality, immigration, housing, planning, the creative industry, trade and investment, apprenticeships, economic crime, Fintech and broadband.	Paul Double	23-May-2016	31-Mar-2017
CR10c Stakeholder engagement	Engagement with key opinion informers in Parliament and elsewhere. Programme of work to monitor and respond to issues affecting the reputation of the City Corporation.	Liaison with the City's MP and other MPS, Peers and Select Committees of both Houses depending on subject matter. Continuing engagement on devolution in London and liaison with London Councils and Central London Forward on the application of devolution to the London boroughs and the City, either directly from Central Government or the Mayor.	Paul Double	06-Jun-2016	31-Mar-2017

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CR17 Safeguarding 22-Sep-2014 Ade Adetosoye	Cause: Not providing appropriate training to staff, not providing effective management and supervision, poor case management Event: Failure to deliver actions under the City of London' safeguarding policy. Social workers and other staff not taking appropriate action if notified of a safeguarding issue Effect: Physical or mental harm suffered by a child or adult at risk, damage to the City of London's reputation, possible legal action, investigation by CQC and or Ofsted	 Likelihood	8	Work is still ongoing to raise awareness of safeguarding. The priority this financial year is to raise awareness of financial abuse and scams. This work is progressing in liaison with Trading Standards and City of London Police and a scoping exercise is being undertaken. 09 Aug 2016	 Likelihood	8	31-Mar-2017	 No change

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CR17b Work with HR to develop training and programmes to support staff	Develop children safeguarding e-learning modules and enable staff to access advice and assistance	The majority of staff have undertaken the e-learning modules. Outstanding training will be completed by end of December to include new staff that have joined the Department. This training has been added to the list of Mandatory training for DCCS staff	Chris Pelham	25-Nov-2015	31-Dec-2015
CR17c Safeguarding Awareness Sessions for DCCS Staff	3 raising awareness sessions will be delivered to Community and Children's Services staff. These sessions will cover updated Child Sexual Exploitation and Children Missing from home, Education and or Care protocols and referral process which have been updated and circulated to all professionals. A Multi Agency Sexual Exploitation group is now fully functioning.	Completed - All sessions have now been delivered to staff.	Chris Pelham	20-Aug-2015	31-Jul-2015
CR17d Raising awareness of Private Fostering, role of Local	A Multi Agency Briefing Event will be held with over 60 partners attending to launch the new referral process, to highlight the role of the Local Authority Designated Officer and raise awareness Private Fostering and the City of London Thresholds document.	Completed - the briefing session took place on 6 July 2015. Partners welcomed the event and feedback was positive.	Chris Pelham	20-Aug-2015	30-Sep-2015

Authority Designated Officer (LADO)					
CR17e Prevent agenda - new guidance	New guidance on the Prevent agenda is being circulated to the City family of schools including the City of London Academies. A leaflet has been produced for parents and carers regarding the Prevent agenda.	Completed - this work has now been completed and the new guidance on the Prevent agenda has been sent to the City of London Family of Schools and the new leaflet has been circulated to parents and carers.	Chris Pelham	20-Aug-2015	10-Jul-2015
CR17f Review of City of London Safeguarding Policy	A review of the City of London Safeguarding Policy will be undertaken with the involvement of the Departmental Safeguarding Champions	Completed - revised policy agreed at Safeguarding sub committee and launched at Safeguarding Champions meeting in December	Chris Pelham	18-Jan-2016	31-Dec-2015
CR17g Preparation for Inspection of Children's Services and Ofsted Inspection Framework	Work is ongoing to prepare for an Ofsted Inspection of Children's Services. Concerns have been raised by The Society of Local Authority Chief Executives (SOLACE), Local Government Association (GLA) and Association of Directors of Children's Services (ADCS) about the current Ofsted inspection framework regarding the lack of flexibility and understanding of local demographics and service needs. No Local Authority has been assessed as outstanding since the inspection framework was revised almost 2 years ago.	Completed - All appropriate staff and partners have completed the awareness sessions regarding the Thresholds of Needs document	Chris Pelham	18-Apr-2016	31-Mar-2016
CR17h Evaluation of Notice the Signs – awareness raising campaign	Evaluation of Notice the Signs – awareness raising campaign	Completed. An evaluation of the Notice the Signs campaign was presented to the City of London Safeguarding sub-committee of the Community and Children's Services Committee stating the campaign's impact has been significant and resulted in increased numbers of safeguarding alerts	Chris Pelham	25-Nov-2015	31-Oct-2015
CR17i New London wide Adults Safeguarding Procedures agreed	Procedures to be formally adapted and training provided	Completed - New London wide Adult Safeguarding Procedures have been formally adopted. Training has been provided to appropriate staff and forms for use on the Social Care information system are now available.	Chris Pelham	18-Apr-2016	31-Mar-2016
CR17j Promoting role of Local Authority	Raising awareness of the LADO role with Members and partners	Completed - referrals to the LADO have increased as a result of the work to highlight the role of the LADO. An external facing email is now available to make reporting easier. Training on safer recruitment has been provided to staff and partners via the City and Hackney Safeguarding Children Board. Guidance has been reviewed and updated.	Chris Pelham	18-Apr-2016	31-Mar-2016

Designated Officer (LADO)					
CR17k Review role of Safeguarding Champions	The role of Safeguarding Champions to be reviewed and to consider if Domestic Violence can be added to the role	The City of London Domestic Abuse Co-ordinator now attends the Safeguarding Champions group. A survey is being undertaken with all Champions to ensure future sessions of the Safeguarding Champions Group are tailored to their needs.	Chris Pelham	09-Aug-2016	31-Oct-2016
CR17l Online Adult Safeguarding Training	Online basic Adult Safeguarding training will be mandatory for DCCS staff	Online basic Adult Safeguarding training will be mandatory for DCCS staff. A suitable product will be identified and will be added to the online learning resource	Chris Pelham	09-Aug-2016	31-Dec-2016
CR17m Raise Awareness of financial abuse and scams	The Adult Social Care Team will be working with the City of London Police to raise the profile of financial abuse and scams	A scoping exercise is being undertaken which will be completed by the end of November. Recommendations will be made to address issues identified and it is likely a public event will be held in March 2017 to raise awareness and highlight the work being done by the City of London and City of London Police.	Chris Pelham	09-Aug-2016	31-Mar-2017
CR17n Raising Awareness of Children Missing Education	A public facing campaign will be undertaken during September 2016 to raise awareness of this issue.	This campaign will coincide with the start of the school year and will run throughout September. The campaign will cover how to spot the signs and promote what to do if concerns are identified, underpinning this will be the message that it is everyone's responsibility.	Chris Pelham	09-Aug-2016	30-Sep-2016

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR14 Funding Reduction 22-Jun-2015 Peter Kane	Cause: Reduced funding from Central Government. Event: Reduced funding available to the City Corporation and City of London Police. Effect: City Corporation will be unable to maintain a balanced budget and healthy reserves in City Fund, significantly impacting on service delivery levels and reputation.	 Likelihood Impact	6	No change to overall risk score 04 Aug 2016	 Likelihood Impact	4	31-Mar-2017	 No change

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR14b SBR implementation – Departmental Savings and cross-cutting reviews.	SBR proposal implementation within Departments and with cross cutting workstreams to identify further efficiencies in strategic asset management, income generation, and reviews of grants and hospitality. Scrutiny by the Officer Strategic Resources Group and Efficiency and Performance Sub-Committee.	SBR proposal implementation within Departments and with cross cutting workstreams to identify further efficiencies in strategic asset management, income generation, and grants. Scrutiny by the Officer Strategic Resources Group and Efficiency and Performance Sub-Committee.	Caroline Al-Beyerty	05-Jul-2016	31-Mar-2017
CR14h Develop Efficiency Plan	Efficiency Plan to be developed and approved by Finance and Policy & Resources Committee which sets out a framework that would incorporate continuous improvement savings and a rolling review programme to secure more radical changes in efficiency and effectiveness.	Draft Efficiency Plan in circulation - broad principles signed off.	Peter Kane	04-Aug-2016	14-Oct-2016
CR14i Develop strategy to address projected Police deficits	City Police is forecasting deficits in 2017/18 and 2018/19 which need to be addressed.	City Police initiating a fundamental review of activity and cost drivers.	Caroline Al-Beyerty	04-Aug-2016	31-Mar-2017